

Investor Profile – Organization

At SunSage Capital, we prioritize understanding our clients' needs to ensure responsible and suitable investment choices. Your unique Investor Profile, encompassing investment objectives, financial situation, and risk preferences, guides us in tailoring our approach to your requirements. To streamline this process, you can complete the Investor Profile below on behalf of your organization. Alternatively, if you prefer a phone conversation, please reach out to us at (214) 809-9606 or by email kohl@sunsage.com.

1A. Organization Name: _____

1B. Organization TIN: ____ - ____ - ____

1C. Contact Details (Individual 1)

Full, Legal Name: _____

Title: _____

Email: _____

Mobile Phone: _____

Mailing Address:

Legal Address:

Social Security Number (SSN): ____ - ____ - ____

Date of Birth (DOB): ____ / ____ / ____

1D. Contact Details (Individual 2)

Full, Legal Name: _____

Title: _____

Email: _____

Mobile Phone: _____

Social Security Number (SSN): ____ - ____ - ____

Date of Birth (DOB): ____ / ____ / ____

2. Income

What is your organization's average Annual Income?

A. Under \$75K

B. Between \$75K and \$150K

C. Between \$150K and \$300K

D. Over \$300K

ANSWER (A,B,C, or D): _____

3. Net Value

What is your organization's Net Value?

- A. Under \$400K
- B. Between \$400K and \$2.5M
- C. Between \$2.5M and \$5.0M
- D. Over \$5.0M

ANSWER (A,B,C, or D): _____

4. Liquid Assets

What is your organization's Total Liquid Assets?

The organization's Total Liquid Assets are those items that cash equivalents or can be quickly and easily be converted into cash. Use the following formula: Liquid Assets = Cash + Deposits + Securities - Short Term Debt.

- A. Under \$60K
- B. Between \$60K and \$375K
- C. Between \$375K and \$750K
- D. Over \$750K

ANSWER (A,B,C, or D): _____

5. Investment Horizon

How long does your organization plan on investing its money?

- A. Very Short Term - We are looking to invest for the next 2 years.
- B. Short Term - We are looking to invest over the next 3 to 5 years.
- C. Medium Term - We are looking to invest over the next 6 to 9 years.
- D. Long Term - We are looking to invest over the next 10 plus years.

ANSWER (A,B,C, or D): _____

6. Risk Tolerance

How much risk is your organization comfortable taking?

- A. High Risk - Our organization is prepared to experience extreme fluctuations in its investment's value and risk substantial losses for the opportunity to earn exceptional returns (Seeking Returns in Excess of 12.0 Percent).
- B. Medium Risk - Our organization is prepared to experience large fluctuations in its investment's value and risk significant losses for the opportunity to earn market returns (Seeking Returns from 5.0 to 12.0 Percent).
- C. Low Risk - Our organization is prepared to experience small fluctuations in its investment's value and risk small losses for the opportunity to earn small returns (Seeking Returns from 1.5 to 5.0 Percent).
- D. Very Low Risk - Our organization can not loose much of its investment's value and will therefore seek a very low return that might not keep up with inflation (Seeking Returns from 0.0 to 1.5 Percent).

ANSWER (A,B,C, or D): _____

7. Investment Objective

Does your organization have an immediate need for income or cash from its investments?

A. No Income Stream Required - Currently, our organization does not require significant cash or income and seeks to grow its investments.

B. Normal Income Stream Required - Our organization needs income and seeks to earn a small income stream (Under 3 Percent) on an annual basis from its investments.

C. High Income Stream Required - Our organization needs income and seeks to earn a large income stream (Between 3 and 6 Percent) on an annual basis from its investments.

D. Partial Liquidation Anticipated - Our organization anticipates needing cash soon and will likely liquidate up to 50 Percent of its investments over the next few years.

ANSWER (A,B,C, or D): _____

8. Special Issues, Instructions, or Requests

Please describe any special issues, instructions, or requests, that you feel would help us to better understand how you might want your organization's assets invested moving forward.

RESPONSE (Optional):

9. Investment Risk

Do you understand the following statements about the risks associated with investing?

I. Investing is risky and could result in the loss of my organization's investment principal.

II. Investing becomes increasingly risky as I shorten my investment horizon.

III. Investing becomes increasingly risky as I seek higher returns.

IV. Investing becomes increasingly risky as I utilize leverage.

A. Yes

B. No

ANSWER (A or B): _____

10. Client Acknowledgment

I have received and reviewed the 'Investor Advisory Agreement' and 'Form ADV Part IIA Brochure' attached to this Investor Profile questioner.

A. Yes

B. No

ANSWER (A or B): _____